

RISK PROFILE QUESTIONNAIRE – SUITABILITY

Name:

CPF:

Distributor: Alaska Investimentos Ltda.

Dear Customer,

The purpose of this questionnaire is to assist the customer in defining the strategy most suitable to your financial investments, through the rating of your risk tolerance against investment goals.

As part of your equity, each portfolio represents a specific investment strategy. Each strategy is determined by subjective factors - familiarity with financial investments and portfolio return goals - and purposes - period of the investment, portfolio volume and its representativeness compared to total equity.

When answering the questions below, consider the option that most closely matches the profile of this portfolio and the purpose of the investment.

1. What is your main goal when investing?

- Preserve my equity and assume low risk **(0.00 points)** Preservation and
- valuation of equity via diversification **(0.50 points)** Valuation of equity
- with higher risk assumption **(1.00 points)**

2. How long do you plan to maintain your resources invested?

- Up to 1 year **(0.00 points)**

1 to 5 years **(0.50 points)**

More than 5 years **(1.00 points)**

3. Regarding your investments in Alaska, what is the need for the resources invested?

I need this money as an income supplement **(0.00 points)**

Eventually I may need to use part of it **(0.50 points)** I have no

immediate need of this money **(1.00 points)**

4. What percentage of your income do you invest regularly?

Up to 10% **(0.00 points)**

From 10 to 30% **(0.50 points)**

Over 30% **(1.00 points)**

5. Because of market fluctuations, consider that your investments lose 30% of the value invested. In that case, what would you do?

I don't know **(0.00 points)**

Sell all the position **(0.25 points)** Keep

the position **(0.50 points)** Increase the

position **(1.00 points)**

6. Considering your academic background, it is possible to state that:

I do not have training related to the financial market **(0.00 points)**

My training is a little related to the financial market **(0.25 points)**

My training is a little related to the financial market, but I have substantial knowledge about the market **(0.50 points)**

My training is directly related to the financial market **(1.00 points)**

7. Considering your professional experience, it is possible to state that:

- I have no experience in the financial market **(0.00 points)**
- I have experience in the financial market and need professional guidance before investing **(0.50 points)**
- I have experience in the financial market and do not need professional guidance before investing **(1.00 points)**

8. How would you describe your future income expectation for the next 5 years?

- Decrease **(0.00 points)**
- Stability **(0.50 points)**
- Increase **(1.00 points)**

9. Inform the volume and frequency of your operations according to the products below, considering the following reflections: (This question allows multiple answers. The highest value among the answers should be used for profile calculation purposes)

Low: I am not familiar and do not make investments with the product or asset class.

Medium: I understand the characteristics and risks, however I have not made investments very often in the product or asset class in the last 2 years.

High: In the last 2 years I have made investments in the product or asset class very often.

Basic Fixed Income (Savings, DI Funds, CDB, LCA/LCI, CRI/CRA, RF Funds, Public Securities) **(Low 0.50 / Medium 0.25 / High 0.00)**

- Low Medium High



Debentures, COE, Multimarket Funds, Real Estate Funds, PGBL/VGBL and other funds **(Low 0.50 / Medium 0.25 / High 0.00)**

Low Medium High

Basic Variable Income (Shares, Share funds, ETFs)

Low Medium High **(Low 0.00 / Medium 0.25 / High 0.50)**

Derivatives (options, future, full term, operations leveraged with loans) and Private Equity Investment Funds (FIP) Low Medium High

Low Medium High **(Low 0.00 / Medium 0.25 / High 0.50)**

Place and date , / /

Signature of the client

Distributor's field

Profile Result:

0 to 4 – Conservative

Between 4 and 6 - Moderate

Between 6 and 8 - Bold

Between 8 and 10 - Aggressive

Statement

I declare that I am aware of the recommendations made by Alaska Investmentos LTDA ("Alaska") regarding the identification of my risk profile as investor and the suitability of products and services defined to my profile, according to the guidelines provided by the current regulation. It is also known to me that when requesting adherence and investments in funds whose risk rating is higher to the risk profile defined above, I shall sign an awareness notice regarding risk profile mismatch, without which I will not be authorized to invest.

Place and date , / /

Signature of the client



AWARENESS NOTICE REGARDING RISK PROFILE MISMATCH

Name:

CPF:

Customer Risk Profile:

Distributor: ALASKA INVESTIMENTOS LTDA

I am aware that the risk profile ("profile") calculated by me following the methodology of Alaska Investimentos LTDA ("Alaska") indicates a rating lower than the risk of the fund I am interested in investing. The only parameter for assessment of my profile with Alaska is contained in the RISK PROFILE QUESTIONNAIRE - SUITABILITY provided by Alaska, with no comparison or use of another profile calculated by other institutions.

I declare that I have received guidance from Alaska on the investments suitable to my profile and I still wish to invest in the aforementioned fund. Thereby exempting Alaska from any liability that the profile mismatch may generate.

Place and date , / /

Signature of the client